

07/06/00  
10718 U.S. PTO

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PTO/SB/05 (12/97)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 40567 Total Pages 101

First Named Inventor or Application Identifier

James McArthur et al.

Express Mail Label No.

PTO  
10891  
09/10/00  
07/06/00

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 75]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 15]
4. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(unexecuted)  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))  
(Copy from Parent Appl.)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: 1) New unexecuted Declaration  
2) Letter

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/433,391 A Petition for Extension of Time was filed on 6 July 2000.

## 18. CORRESPONDENCE ADDRESS

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# FEE TRANSMITTAL FORM

Attorney Docket No.: **40567**

ASSISTANT COMMISSIONER OF PATENTS  
**BOX: PATENT APPLICATION**  
 Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **James McArthur; Dale Ando; Ju-Fay Chang; Margo Roberts; and Jonathan Simons**

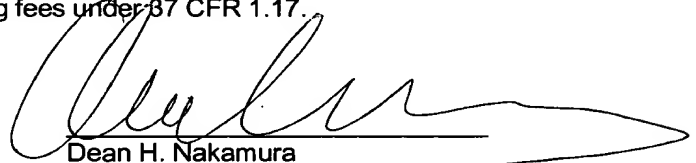
For: **NOVEL CANCER-ASSOCIATED ANTIGENS AND METHODS OF THEIR IDENTIFICATION AND USE**

The filing fee has been calculated as follows:

	(Col. 1)		(Col. 2)		SMALL ENTITY		OTHER THAN A SMALL ENTITY
FOR:	NO. FILED		NO. EXTRA		RATE FEE	OR	RATE FEE
BASIC FEE					\$345.00	OR	\$690.00
TOTAL	<b>22</b>	- 20=	<b>2</b>		36 x 9 = \$		2 x 18 = \$36.00
INDEP	<b>3</b>	- 3=	<b>0</b>		4 x 39 = \$		x 78 = \$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS					+ 130 = \$		+ 260 = \$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2					TOTAL \$		TOTAL \$726.00

- ☐ This application is being filed without oath or declaration and without the filing fee pursuant to 37 C.F.R. §1.53(f).
- ☐ Applicant wishes to defer the filing fee of the application in accordance with 37 C.F.R. § 1.53(f).
- ☒ A check in the amount of **\$726.00** to cover the above calculated filing fee and ☐ \$40.00 to cover the Assignment recording fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
- ☒ Any additional excess claim fees under 37 CFR 1.16.
- ☒ Any additional patent application processing fees under 37 CFR 1.17.

Dated: 6 July 2000

  
 Dean H. Nakamura  
 Attorney of Record  
 Reg. No. 33,981

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